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Report Title

Development and Evaluation of Realistic Microbioassays in Freely Suspended Droplets on a Chip

ABSTRACT

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List of papers submitted or published that acknowledge ARO support during this reporting period. List the papers, including journal references, in the following categories:

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V. Rastogi and O. D. Velev, Biomicrofluidics, 1, 014107, 1-17 (2007). Development and evaluation of realistic microbioassays in freely suspended droplets on a chip.

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Orlin. D. Velev, Invited Seminar, Department of Mechanical Engineering, Massachusetts Institute of Technology, Boston, MA, March 2007. On-chip droplet and particle manipulation by electric fields: Applications in microfluidics and colloidal assembly.

Orlin. D. Velev, Invited Seminar, Department of Chemical and Biomolecular Engineering, Tulane University, New Orleans, LA, March 2007. On-chip droplet and particle manipulation by electric fields: Application in microbioassays and microfluidic devices.

Orlin. D. Velev, Invited Seminar, Department of Chemical Engineering, Rensselaer Polytechnic Institute, Albany, NY, October 2006. On-chip droplet and particle manipulation by electric fields: Application in microbioassays and microfluidic devices.

Orlin. D. Velev, Invited Seminar, Department of Chemical Engineering, University of Oklahoma, Norman, OK, September 2006. On-chip droplet and particle manipulation by electric fields: Application in microbioassays and microfluidic devices.

Vinayak Rastogi and Orlin Velev, oral presentation by V. Rastogi, 81st ACS Colloid and Surface Science Symposium, Newark, DE, June 2007. Development and Evaluation of Realistic Microbioassays in Freely Suspended Droplets on a Chip.

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Number of Manuscripts:0.00

Number of Inventions:

Graduate Students

<u>NAME</u>	<u>PERCENT_SUPPORTED</u>
Vinayak Rastogi	0.76
Shalini Gupta	0.50
FTE Equivalent:	1.26
Total Number:	2

Names of Post Doctorates

<u>NAME</u>	<u>PERCENT_SUPPORTED</u>
FTE Equivalent:	
Total Number:	

Names of Faculty Supported

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FTE Equivalent:	
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Names of Personnel receiving masters degrees

NAME

Total Number:

Names of personnel receiving PhDs

NAME

Shalini Gupta

Total Number:

1

Names of other research staff

NAME

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ARO Final Report

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Development and Evaluation of Realistic Microbioassays in Freely Suspended Droplets on a Chip

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Abstract. We developed a novel technique for biomolecular detection in microliter droplets floating on the surface of high density oil. Each droplet was captured and manipulated dielectrophoretically and was used as a site for a microscopic bioassay based on agglutination of antibody-conjugated particles. The results were read out by the pattern of agglomerated gold nanoparticles collected on the droplet surface. Two formats of bioassays, namely GOAgg (Gold Only Agglutination) and GLAgg (Gold and Latex Agglutination), were investigated experimentally by varying analyte concentration, particle size and concentration, number of antigen binding sites per particle, time for incubation and rate of particle collection on the droplet surface. The microbioassays performance was also evaluated with Ricin antibodies and compared to the Army Ricin assays in field use. We estimate that the droplet based assays require 100 times smaller sample volume and are ten times more sensitive, though they require longer times to complete. The experiments were interpreted by modeling the kinetics of particle agglutination and mass transfer processes inside the droplets. The results could allow for development of efficient immunoassays on a chip requiring even smaller sample volumes.

I. Introduction

The last five decades have brought forward significant development in the immunological techniques for biomolecular detection and identification.^{1,2} Many of the immunoassays for clinical diagnostics and detection of chemical and biological agents are based on particle agglutination principles.³ They are used in detection of various proteins such as immunoglobulin, toxins, and hormones present in blood serum.^{2, 4-9} Microscale devices are commonly used in conjunction with immunological methods to process multiple samples in an efficient and rapid manner. Microfluidic operation in small volumes reduces the time needed for analysis of a sample. The volume of analyte solution may be critical in applications such as biodefense and forensic diagnostics where only limited sample amounts are available.

The typical immunoagglutination assays are based on polystyrene latex microspheres with antibody molecules bound to their surface.³⁻¹⁰ An aqueous suspension of these microspheres is mixed with a sample containing antigen molecules from whole blood, serum, urine, etc. The antigen molecules bind two antibody molecules situated on different microspheres and cause agglutination (aggregation) of latex microspheres. Several techniques such as nephelometry and spectrophotometry could be employed to determine and quantify the aggregation state of the latex particles. The immunoagglutination methods, however, are not readily compatible with conventional microfluidic devices with channels due to problems with mixing, clogging of the channels by particles or aggregates, protein fouling, high pressure heads generated by viscous fluid flow and long result read-out times.^{11,12} Some of these problems can be addressed by "digital microfluidics" - moving droplets on solid surface using electrowetting.¹³⁻¹⁵ This technique, however, still may encounter problems with contact

angle hysteresis, contact line pinning of droplets and fouling. Complex optical detection methods would be required to read the results of agglutination assays in the sessile droplets.

In this manuscript we explore and characterize a new type of immunoassay based on an alternative droplet microfluidic technique. It is based on a fluidic chip where freely suspended droplets are entrapped and transported by dielectrophoresis without any contact with the solid surfaces.¹⁶⁻¹⁸ The microdroplets are suspended on the surface of perfluorinated hydrocarbon and serve as self-contained microscopic containers and reactors for performing and reading out assays for biological detection. The electric fields that hold and guide the droplets and particles are applied through arrays of electrodes submerged in the oil (Fig.1). The droplet technique does not encounter the problems of high pressure head, channel clogging, protein fouling and waste disposal existent in conventional microfluidic devices.

We recently completed a detailed experimental study of the liquid flow and particle distribution, combined with simulation of the heat and mass transfer, inside single floating microdroplets.¹⁹ We established that evaporation from the exposed portion of droplets protruding through the oil leads to internal water circulation, mixing and microseparation of the particles in top part of the droplets. The internal circulation is driven by Marangoni flow. Finite element simulations for hydrodynamic flows inside the droplet were in a good correlation with the experimental observations. Various chemical reactions and materials synthesis processes can be performed in these microcontainers.¹⁹ We show here how such “droplet engineering” could find applications in novel bioassays.

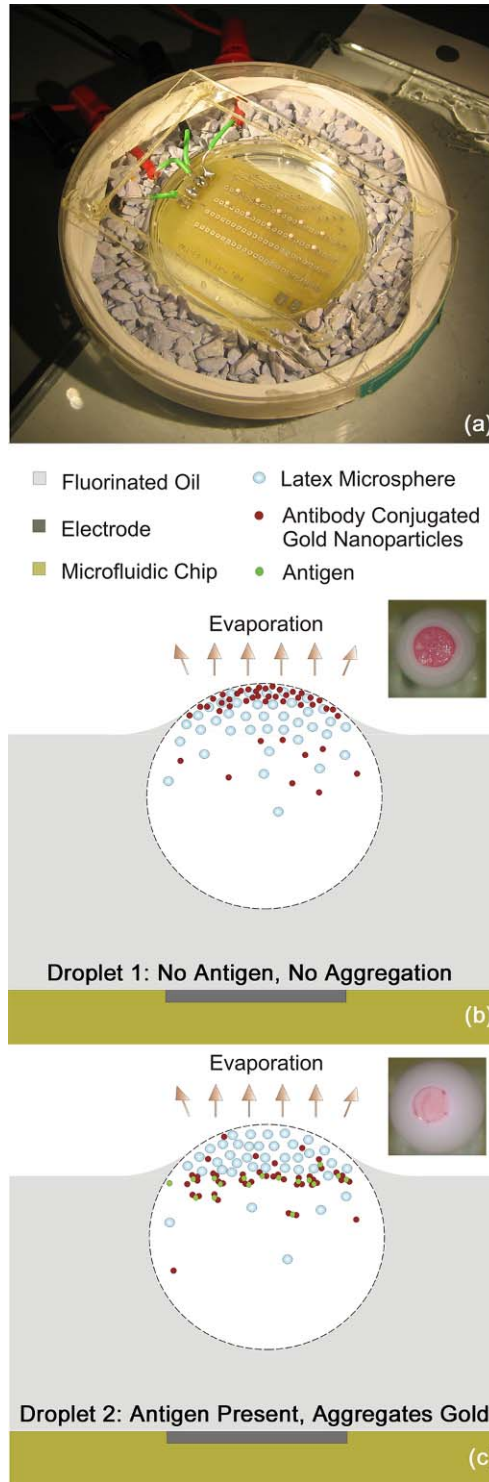


Figure 1. (a) Experimental setup with evaporating droplets on a DEP chip. (b) Schematics and optical micrograph from above of evaporating droplet without antigen. (c) Schematics and micrograph of gold nanoparticle aggregation in a droplet containing antigen.

A. Principles of the immunological bioassays in microdroplets

The evaporation process of the droplets can be used for on-chip detection of antibody-antigen driven agglutination. In the earlier demonstration of the principle we mixed aqueous suspensions of 0.32 μm latex particles and of 40 nm gold nanoparticles coated with goat anti-rabbit IgG.¹⁹ One part of the suspension was kept as is and another part was mixed and incubated with rabbit IgG (the antigen for the IgG bound on the gold nanoparticles). 1.0 μL droplets of each suspension, (Droplet 1 no antigen – “negative control” droplet, Droplet 2 with antigen – “test droplet”) were deposited on the F-oil, entrapped by the electric field and observed during drying under the microscope (Fig. 1). As the droplets began to dry, a dark gold nanoparticle “eyeball” spot appeared on the top surface of the negative control droplet without rabbit IgG (Fig. 1b inset). Deposits of gold nanoparticles in the droplet with rabbit IgG, however, were not visible on the surface (Fig. 1c inset).

The differences in the particle collection pattern in the top part of droplets arises because the gold nanoparticles in the test droplet bind to other gold nanoparticles particles via antibody-antigen interaction, forming large clusters as a result of this agglutination process. The gold nanoparticles in the negative control droplet, on the other hand, do not agglutinate in the absence of antigen and remain freely dispersed. The agglutinated gold nanoparticles in the test droplet can not pass through the interstices between the latex particles collected on the top section of the droplet showing a positive result. The unbound free nanoparticles in the negative control droplet are dragged to the surface and form the darker spot indicating negative result. Thus microseparation inside the droplets allows direct and easy distinguishing of the aggregation state of the suspended particles affected by biomolecular binding. This

process was developed further and investigated in depth in our present study to enable the development of sensitive biological microbioassays.

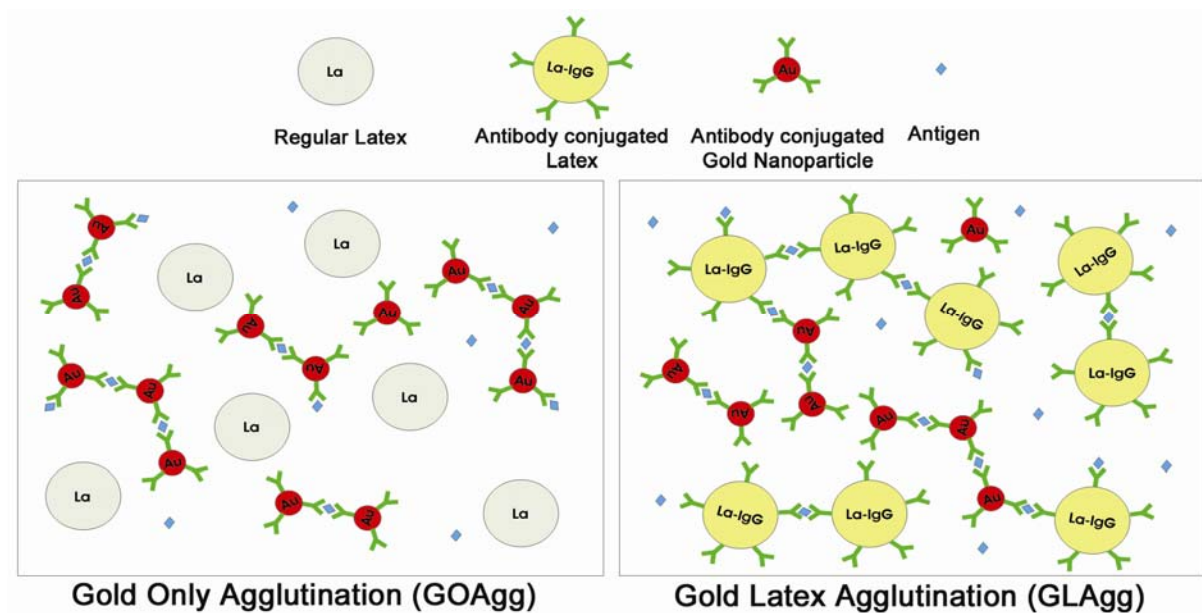


Figure 2. Schematics of the immunorecognition and agglutination processes taking place in the two microbioassay formats studied.

B. Formats of immunoagglutination bioassays studied

We developed two types of assays, schematically shown in Figure 2 and performed limit of detection (LOD) experiments. Both assays use gold nanoparticles functionalized with antibodies for the targeted biological or chemical molecule. The difference between the two formats lies in the types of latex particles present in the droplets. The first assay reported here is Gold Only Agglutination (*GOAgg*) which uses non-functionalized latex microspheres. The functionalized gold nanoparticles agglutinate in the presence of an antigen, forming clusters

within the bulk of the droplet. The second assay format, coded here as Gold and Latex Agglutination (*GLAgg*) is based on similar detection principles, but in this case both the gold nanoparticles and the latex spheres are conjugated with immunoglobulin. The antigen leads to agglutination of all particles, including the gold nanoparticles, the latex spheres and cross-agglutination between the gold and latex particles. The detection is carried out by the microseparation procedure and a positive result is detected by the absence of gold nanoparticle ring or spot on the droplet top.

There are important differences between the above mentioned formats of microbioassays. The *GOAgg* format is less expensive and simpler to implement and read. These assays, however, may be easily oversaturated with antigen, resulting in false negative results. Oversaturation occurs when antigen concentration in the droplets is enough to bind to all antibodies at a ratio of at least 1:1, as a result of which cross-linking of the particles becomes impossible. This ratio was found to be higher in the experiments owing to the slow diffusion and orientation constraints in binding of the particles. The *GLAgg* format, on the other hand, requires two types of functionalized particles, and thus is a bit more expensive and complex. However, it may be less prone to oversaturation because of the higher number of antigen binding sites available on both latex and gold nanoparticles.

In the following sections we present the experimental data and evaluate the microbioassays (*GOAgg* and *GLAgg*) using Goat Anti-Rabbit Immunoglobulin and Rabbit Immunoglobulin pair. The performance of assays was assessed in terms of reliability, sample volume, limit of detection, incubation time, particle size, and concentration detection range. The microbioassays are also characterized using antibodies and antigens supplied by Critical Reagents Program (CRP, US Department of Defense) for a realistic biological defense

application - detection of Ricin. We compare the parameters of the microbioassays developed with the ones of common hand-held assays and laboratory CRP assays using particle agglutination techniques. In the second part of the paper we present results from theoretical model of the kinetics of particle agglutination and correlate them with experimental results.

II. Experimental Procedures

A. Materials

The detection in microbioassay droplets is based on gold nanoparticles penetrating through cavities in the latex particles cap. Calculations for the geometry of the cavity formed between the spheres in a hexagonally close-packed crystal show that the minimal opening size is ~15% of the diameter of microspheres. The diameter of latex particles should be such that the aggregated 40 nm gold nanoparticles cannot pass through the interstices of the latex microspheres. Hence polystyrene latex microspheres of size 0.32 μm were chosen to detect the presence of antigen in the microbioassay droplet. Aqueous surfactant-free sulfate-stabilized 0.32 μm polystyrene latex microspheres were purchased from Interfacial Dynamics Corp. (Portland, OR, USA). Goat Anti-Rabbit IgG (H&L) – FlouresbriteTM Carboxylate YG Beads were purchased from Polysciences Incorporation (Warrington, PA, USA). The microspheres were centrifuged at 1100 g for 10 min with Marathon micro-A centrifuge (Fisher Scientific, USA) and washed with deionized (D.I.) water. The collected microspheres were resuspended in D.I. water and sonicated (Branson Ultrasonics Corp., CT, USA). The DI water used was obtained from Millipore RiOs 16 reverse osmosis water purification systems (Bedford, MA, USA).

An inert, high density perfluorinated oil, FC-70, was purchased from Sigma-Aldrich (St. Louis, MO, USA). 40 nm gold nanoparticles were obtained from British Biocell International (Cardiff, UK). 40 nm goat Anti-Rabbit IgG conjugated gold particles were purchased from EY Labs (San Mateo, CA, USA). Bovine Serum Albumin (BSA) was purchased from Sigma-Aldrich. Rabbit IgG Plasma was purchased from Calbiochem (San Diego, CA, USA). Ricin antigen (Ricin A-Chain) and Ricin antibody (Goat Anti-Ricin Toxin) were supplied by the DOD Critical Reagents Program (CRP). Standard Hand Held Assays (HHA) for the detection of Ricin were also obtained through CRP. These assays operate on immunochromatographic principle.¹

B. Experimental Setup

The DEP chip used to capture microdroplets carries arrays of electrodes situated on a circuit board.²⁰ The square waves of frequency 800 Hz and amplitude of 700 V applied to the electrodes were generated using a FG-7002C Sweep/Function generator (EZ Digital Company Limited, Korea) and a Piezo Driver/Amplifier (Model PZD 700, Trek Incorporation, USA). The electrode chip was immersed in 4.5 mL high density fluorinated oil (FC-70) contained in a small Petri dish (Millipore Co., MA, USA). The Petri dish was in turn kept inside a bigger chamber containing desiccant to enhance evaporation of droplets (Fig. 1).

Microseparation of particles due to evaporation in the droplets was continuously monitored from top using SZ61 0.7-4.5x zoom stereomicroscope (Olympus America Inc., NY, USA). Their images were captured at regular intervals using DSC-V1 Cyber-Shot digital camera (SONY, Japan) coupled with the microscope. Characterization of the droplet geometry was done using Olympus BX-61 optical microscope (Olympus America Inc., NY,

USA). Images of the droplets were taken using high resolution DP70 digital CCD microscope camera (Olympus America Inc., NY, USA).

C. Methodolgy

Water droplets of volume 1.0 μ L containing the microspheres, functionalized gold nanoparticles and antigen were dispensed onto the oil surface using ultramicropipette (Eppendorf North America Inc., NY, USA). The droplets for GOAgg microbioassay were prepared by washing the latex particles twice with 0.01 M PBS and centrifuging them at 3000 g for 20 min. The supernatant was decanted, the latex particles were sonicated and then mixed with 0.01 M PBS containing 2 mg/mL BSA and incubated for 30 min. BSA was routinely added to the solutions to prevent any specific adsorption of antigens on the surface of latex microspheres during the microbioassays.²¹ In the next step the microspheres were again washed with PBS and then centrifuged to remove unadsorbed immunoglobulin in the solution. Subsequently a solution containing 0.2 mg/mL BSA and 0.1 wt% Tween-20 in 0.01 M PBS (referred to further as "PBSA") was added with sonication to adjust final latex concentration to 15 wt%.

The latex solution was then mixed in 1:1 volume ratio with goat anti-rabbit IgG conjugated suspension containing 0.04 wt% of 40 nm gold particles. 10.0 μ L aliquots of this latex/gold mix were taken and increasing concentrations of antigen (Negative control – no antigen, 1.0 μ g/mL, 10.0 μ g/mL, and 100.0 μ g/mL) were added to each. To study the effect of incubation time, several sets of 10.0 μ L aliquots of latex and antibody coated gold were prepared. Aliquots of each set were then mixed with antigen concentration varying from 0 to 10.0 μ g/mL. These assays were incubated for times ranging from 5 min to 45 min.

Latex solutions for the GLAgg assay were prepared using goat anti-rabbit IgG coated FlouresbriteTM Carboxylate YG Beads of 1.03 μm diameter. These antibody-coated particles were pretreated by the same procedures as described above for latex in GOAgg assay to adjust latex concentration to 2.6 wt%. The latex suspension was then mixed in 1:1 volume ratio with 0.04 wt% suspension of antibody-conjugated 40 nm gold nanoparticles. The latex/gold particle suspension was divided into 10.0 μL aliquots and increasing concentrations of antigen were added before incubation and deposition of 1.0 μL droplets on the chip.

We examined the effect of gold nanoparticles and Tween-20 on the evaporation rate of droplets in order to characterize the drying process leading to detection. This was done with sets of droplets, which had similar contents except for the presence of gold nanoparticles and Tween-20. The preparation procedure was the same as for droplets in GOAgg microbioassays. Two sets of 1.0 μL droplets were compared. The droplets in the first set contained 15 wt% latex and a mixture of latex and 0.04 wt% gold nanoparticles in PBSA. The droplets in the second set had the same particles, but 0.05 wt% Tween-20 was added to all samples. The droplets were entrapped on the DEP chip and their diameter was measured with time using high magnification optical microscopy to compare the rate of evaporation.

III. Results and Discussion

A. Gold Only Agglutination Microbioassay (GOAgg)

In the initial set of experiments we verified that the assay functions as expected. We then performed a detailed study of the effects of the major experimental parameters. The results can be summarized as follows.

Effect of antigen concentration: The suspension containing latex and gold nanoparticles and varying concentration of antigen was pre-incubated for 30 min. Images of droplets evaporating on F-oil surface were then taken at regular intervals. After 12 min of drying time, the droplets showed clear difference in the collection pattern of colloidal gold on top (Fig. 3). The gold nanoparticles in negative control droplets were able to pass through the interstices between latex microspheres and collect on top. No nanoparticle aggregation had taken place owing to the absence of antigen. The droplet with 1.0 $\mu\text{g/mL}$ antigen concentration showed the least amount of gold nanoparticle collection on top. This points out that the gold nanoparticles had agglutinated strongly and formed aggregates large enough to get entrapped in the cavities between the latex particles.

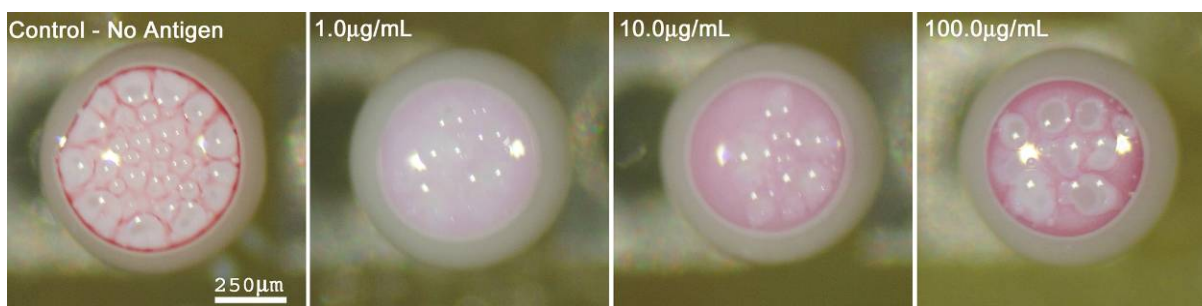


Figure 3. Optical micrographs of droplets in a Gold Only Agglutination (GOAgg) assay.

1.0 $\mu\text{g/mL}$ antigen concentration shows the least amount of gold nanoparticles collection on top for 30 min incubation time. The white areas in the top of the droplets are the dense latex particle phases. The gold nanoparticles reaching the top are easily observed because of their intensively red color.

The assay droplets containing 10.0 $\mu\text{g/mL}$ antigen displayed more gold nanoparticles collected in its top portion than the 1.0 $\mu\text{g/mL}$ antigen droplets. This can be explained with effective over-saturation of the antigen-binding antibody sites on the gold nanoparticles. The binding process occurs when free antibody on one particle gets in contact with an antigen bound to an antibody on another particle. The binding does not take place when both antibodies on the two particles are saturated with antigen. The collision of heavily antigen-covered gold particle with another antigen-saturated nanoparticle site does not lead to aggregation. A larger number of gold nanoparticles remained unaggregated, passing through the interstices of collected latex particles cap and migrating to droplet top.

The droplet with 100.0 $\mu\text{g/mL}$ antigen showed maximal amount of gold nanoparticle collection. The concentration of antigen in this droplet was high enough to saturate all or nearly all antigen binding sites on the surface of the colloidal gold. Thus, the gold particles did not aggregate and collected in the top portion of the droplet. Notably, the color of the gold nanoparticles collected here differs from the one of the negative control, displaying a more bluish tint. This can be explained by the partial aggregation of the nanoparticles before full surface saturation takes place. The plasmon absorption band of colloidal gold depends on the effective size of the nanoparticles and as the nanoparticles aggregate they show a red shift in the absorption spectra.²² In practice, the difference in the color could not be a parameter reliable enough to distinguish the negative control droplets from the oversaturated ones. Thus, oversaturation (in this case occurring at an antigen concentration ~ 100 times higher than the optimal one) could lead to error in the readout of these assays.

Scanning electron microscopy observations of a dried microbioassay droplet confirm the assumption of hexagonal closed packing of latex particles in the top portion of droplets.

Micrographs of the bottom side of the particle aggregate were taken after flipping it over an SEM grid, illustrating how the agglutinated gold nanoparticle clusters get captured in between the interstices of the latex particles (Fig. 4).

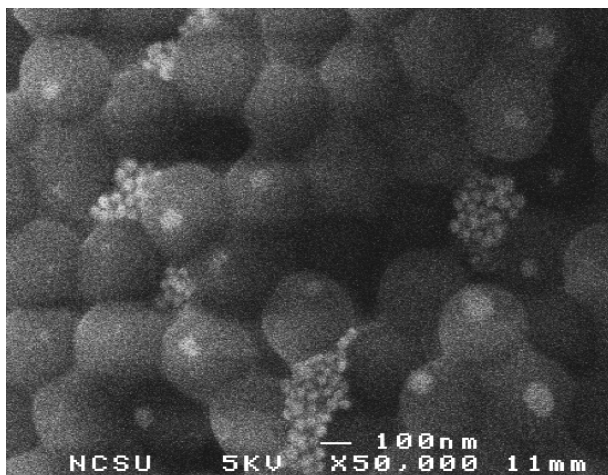


Figure 4. Scanning electron micrograph showing cluster of aggregated gold nanoparticles trapped in the interstices between latex particles in the bottom portion of droplet cap.

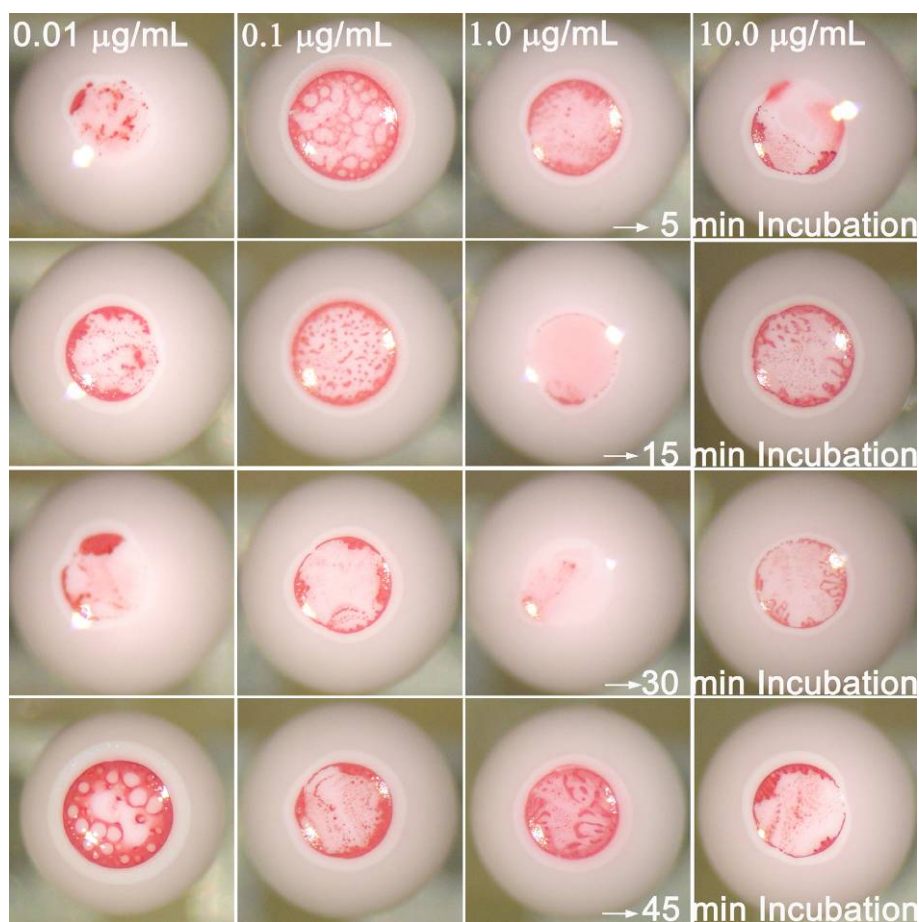


Figure 5. Optical micrographs of GOAgg microbioassay for varying incubation times (vertical direction) and varying concentration (horizontal direction).

Effect of incubation time: The influence of incubation time (before depositing and drying the droplets) on the performance of microbioassays was evaluated using the GOAgg system (Fig. 5). Short incubation times (< 5 min) did not result in visible pattern that can be interpreted for successful antigen detection. The gold nanoparticles and antigen molecules do not undergo enough effective collisions at such short times. The gold nanoparticles get pushed to the droplet top by the evaporation flux before they had formed big enough clusters to be caught in the latex particles pores. The microbioassays show differentiable pattern for 15 min of incubation time. The smallest amount of gold nanoparticles coming to the top is registered

after 30 minutes incubation, indicating that this is about the optimal incubation time, during which the major fraction of the Au nanoparticles have been included into aggregates large enough to prevent them from reaching the top surface during the evaporation.

Surprisingly, we consistently observed larger fraction of gold nanoparticle collection for the assays after 45 min incubation in comparison to the ones performed at smaller incubation times (see bottom row in Fig. 5). The difference between the positive and negative control assays becomes hard to visualize. Thus the assays seemed to deteriorate and free particles were released from the aggregates formed. We hypothesize that the detachment is caused by the thermal motion of the gold nanoparticles and the presence of a large pool of free surfactant (Tween-20) in the medium. The antibodies are physically adsorbed on the gold surface and can be pulled off partially during the thermal fluctuations on the large agglutinated gold particles. Once an antibody gets pulled off partially from the nanoparticle surface, the surfactant molecules present in the droplet compete to adsorb at their place and prevent immunoglobulin re-adsorption. The danger of "over-incubating" the assays is significant for practical applications and will be investigated in the future due to its complex origins.

B. Gold and Latex Agglutination Microbioassay (GLAgg)

The GLAgg agglutination process involves more complex interactions in comparison to the GOAgg microbioassay. This assay includes agglutination of both the antibody conjugated latex microspheres and the antibody conjugated gold particles (Fig. 2). Aliquots of 10.0 μ L latex and gold nanoparticle suspension were incubated for 15 min and 55 min to allow for completion of the agglutination processes. The droplets were then dispensed on the

DEP liquid-liquid chip to follow the microseparation due to evaporation. We observed similar gold nanoparticles collection pattern on top for a wider range of antigen concentrations ($0.1 \mu\text{g/mL} \sim 10.0 \mu\text{g/mL}$), regardless of the time during which droplets are incubated (Fig. 6). This was in contrast to GOAgg microassays, which only performed optimally for 30 min incubation at a concentration of $1.0 \mu\text{g/mL}$. In addition to the lack of dark red spot, the latex particles do not collect effectively on the surface due to the formation of loose latex aggregates.

In contrast to the GOAgg microbioassays, the Gold and Latex Agglutination systems were not sensitive to the size of the latex particles. GOAgg assays with spheres $0.78 \mu\text{m}$ and larger were not successful because the large size interstices in the latex cap allowed even aggregated gold nanoparticles to pass through. The GLAgg assays worked successfully with particles of $1.03 \mu\text{m}$ in size, because the gold nanoparticles are prevented from reaching the surface by binding rather than filtering in the cavities. A gold particle with antibody sites covered with antigen rising to the droplet surface can become attached to the latex particles collected in the top portion of the droplets via their antigen free antibody sites.

The comparison between the two assay formats leads us to the conclusion that the GLAgg microbioassays are less affected by incubation time and less responsive to analyte concentration. There are more antigen binding sites that can adsorb more antibodies before saturating. In addition, the gold particles in GLAgg microbioassays have low probability of making it to the top surface of latex particles cap even if the cavities between the particles are larger than that in the GOAgg assays.

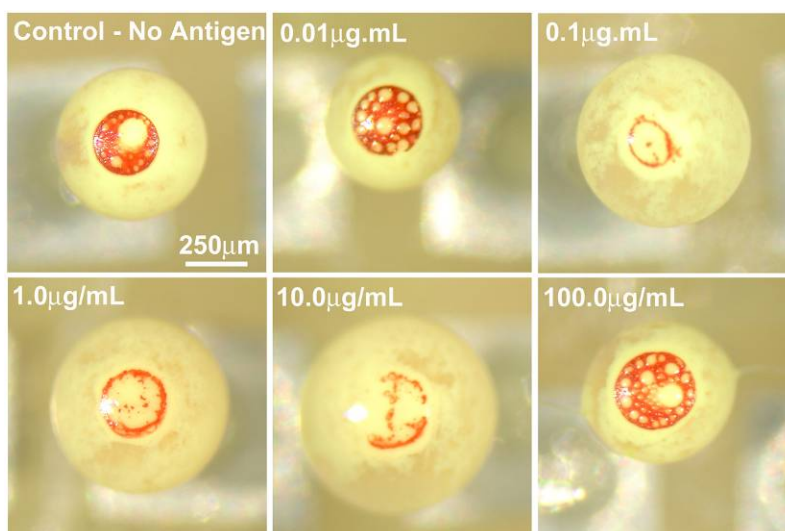


Figure 6. Optical micrographs of droplets with GLAgg assays at increasing antigen concentrations.

In summary, the results point out that the rapid and reliable detection in these assays depends on the balance between incubation time and analyte concentration. GOAgg assays are simpler and are, in principle, more sensitive. The GLAgg microbioassays can give faster results and appear less prone to oversaturation in comparison to GOAgg format due to the presence of larger amount of antigen binding sites available on both types of particles.

C. Gold Only Agglutination Microbioassay (GOAgg) with Ricin Antibody

Assays based on goat anti-rabbit antibodies are a research standard, but they might not be a realistic enough simulation for practical toxin antigens. For this purpose we experimented with GOAgg bioassays based on gold nanoparticles coated with ricin antibody. The antibody was conjugated to the colloidal gold using the protocol given by Beesley.²³⁻²⁶ The experiment was then conducted by the same protocol as for GOAgg microbioassays. This experiment also allowed us to evaluate the performance of the droplet assays to the one of the

standard DOD hand held assays (HHA) operating on immunochromatographic principles.^{1, 27,}
²⁸ The Ricin droplet microbioassays showed minimum gold nanoparticles collection for 1.0 $\mu\text{g/mL}$ concentration at 30 min incubation time (Fig. 7). This correlates well with the results of GOAgg microbioassays made with goat anti-rabbit IgG conjugated gold. The hand held assays needed at least 10 $\mu\text{g/mL}$ to yield positive results.

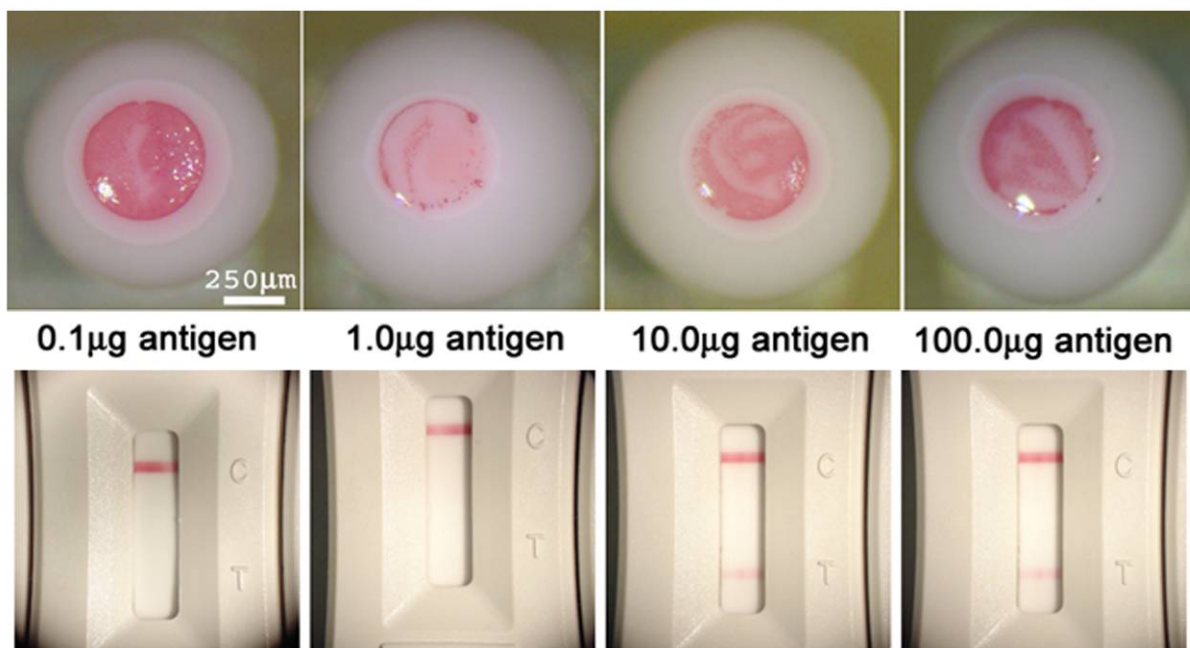


Figure 7. Comparison between optical micrographs of droplet GOAgg assay with Ricin as an antigen (top) and conventional Hand Held Assay for Ricin. 30 min incubation.

A summary of the evaluation of the Ricin droplet microbioassays and the conventional Hand Held Assays (HHA) is presented in Table I. The droplet based assays take three times as much time as HHA to produce detection results. However, they have 10 times lower limit of detection (LOD) and are also 100 times more efficient in utilizing sample volume. These advantages make them suitable for analysis of biotoxin agents and forensic samples of

microscopic volumes and low concentrations. Assays based on other antibodies for Ricin with higher sensitivity have been reported previously.²⁸⁻³⁰ However, these assays use larger sample volumes in comparison to the droplet based microbioassay.

Table I. Summary comparison between HHAs and droplet based microbioassays.

Parameter	Hand Held Assay	Droplet Based Assay
Incubation time	~15 min	~45 min
Volume of sample	> 100 μ L	< 1.0 μ L
Lower limit of detection	10.0 μ g/mL	1.0 μ g/mL

D. Factors affecting evaporation rate of droplets.

The speed of microseparation of particles in the top section of the droplets is controlled by evaporation. The microbioassays can provide faster results if the evaporation rate of droplets is increased. In order to facilitate the future improvement and optimization of the droplet assays, we investigated the effect of gold nanoparticle concentration, presence of Tween-20, background protein (BSA) concentration, and electric field intensity to the evaporation rate. Four sets of drying droplets were compared to examine the effect of Tween-20 and Au nanoparticles on evaporation rate (Fig. 8). The protocol for these experiments is detailed in the Methods section. The data indicate that the presence of surfactant assures a slower but more uniform drying of the droplets (compare Fig 8(a) with Fig 8(c), Fig 8(b) with Fig 8(d)). On the other hand, the gold nanoparticles strongly increased the evaporation rate in comparison to the surfactant (compare Fig 8(a) with Fig 8(b), Fig 8(c) with Fig 8(d)).

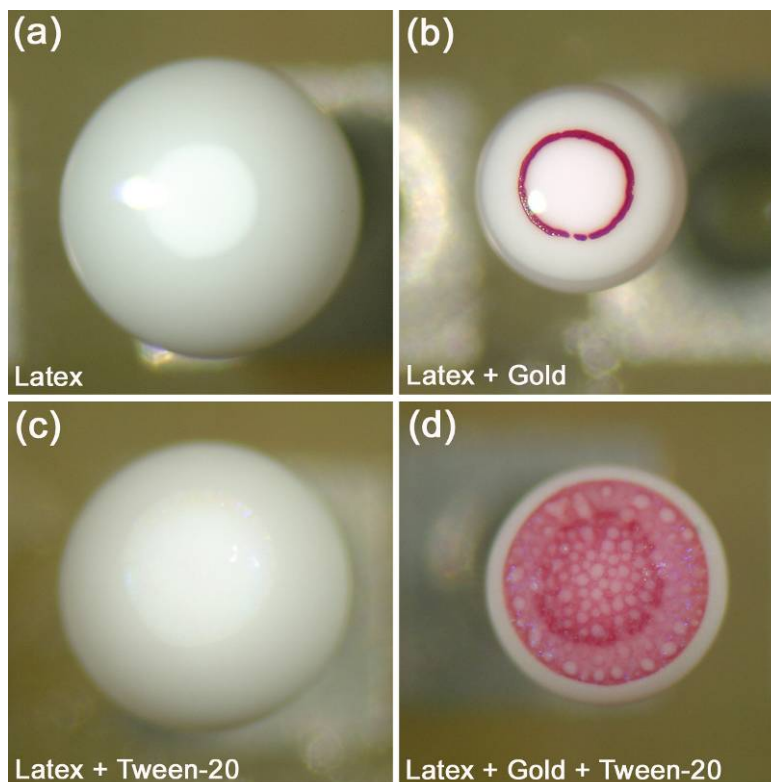


Figure 8. Micrographs of typical droplets, illustrating the effect of presence of gold nanoparticles and Tween-20 on the drying rate of droplets. (a) Latex only, (b) Latex and gold nanoparticles, (c) Latex and Tween-20, (d) Latex, gold nanoparticles and Tween-20. All droplets were allowed to evaporate for 65 minutes.

The gold nanoparticle effect on evaporation was examined quantitatively by measuring the diameter with time for three types of droplets (Fig. 9). The concentration of gold nanoparticles was kept the same as in GOAgg droplet bioassays. The normalized droplet diameter in all cases decreased approximately linearly with time. The difference in slope points out that droplets containing nanoparticles were evaporating faster in comparison to the PBSA and latex droplets. This supports the conclusion drawn from Figure 8 that the presence

of gold nanoparticles increases the evaporation rate of droplets. The higher evaporation rate in the presence of nanoparticles is possibly a consequence of the deformation and corrugation of the surface by the layer of particles collected and pressed against it from the water side and the resulting higher area of evaporation. The results in general point out that the concentration of nonionic surfactant and nanoparticles should be sustained constant in order to compare the results of the various assays.

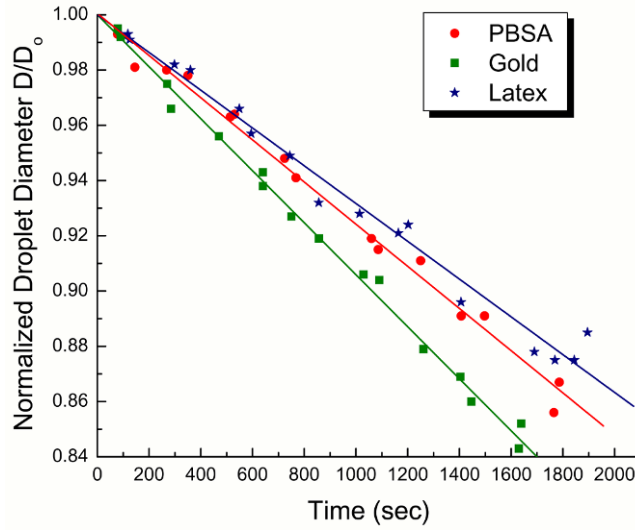


Figure 9. Droplet diameter variation with evaporation time for droplets containing different ingredients.

Since the droplets are attracted to the underlying electrodes where the electric field intensity is high, it was also speculated that higher field intensities can pull them down towards the electrodes and thus control the degree to which they protrude from the surfaces. This could change the size of the meniscus and the top open area where evaporation takes place. Droplets containing PBS were observed while varying the magnitude of electric field intensity in the operating range of 50,000 V/cm ~ 80,000 V/cm. Contrary to our hypothesis,

however, we found that changing electric field intensity does not affect the droplet meniscus size in our system.

The major factor in assay performance recognized so far is the dynamics of particle agglutination. The next section evaluates the particle aggregation dynamics for GOAgg microbioassay on the basis of modified agglutination theory and kinetic models available in the literature.

IV. Model of Particle Agglutination Dynamics

The optimization of droplet microbioassays requires fast aggregation of antibody-coated particles to produce rapid detection results. We develop here a particle agglutination model to explain the binding dynamics of the biologically functionalized particles in the microbioassays. Several assumptions and modifications were made to existing theories to make this model simple yet versatile enough. The particles are approximately spherical, so we can assume that the process is similar to reaction between spheres for which the rate constant can be expressed as

$$K = \frac{k_D k_R}{(k_D + k_R)} \quad (1)$$

where $k_D = 4\pi D R$ is the diffusion rate constant (R is the sum of the radii of reacting spheres, D is the relative diffusion constant) and k_R is the reaction constant, which characterizes the binding of the biomolecules on the particle surfaces.³¹ Antigen-antibody binding reactions are specific and their rate is known to be rapid in comparison to the rate of diffusion.³² However, for certain system geometries the binding process may be reaction limited.

The ratio of the reaction control to the diffusion control in the binding process can be estimated by Damkohler number. It is defined as

$$D_a = \frac{R k_f \Gamma_o}{D_B} \quad (2)$$

where R is the radius of the gold nanoparticles in cm, k_f is the maximum forward reaction rate in ml/(mol-s) considering orientation and other rate limiting factors, Γ_o is the surface concentration of antibody sites on the gold nanoparticles in mol/cm² and D_B is the diffusion constant for antigen molecules in cm²/s. For our system $D_a = 0.6$, which suggests that it is reaction limited. This reaction control, however, switches to diffusion control after a certain time interval (δ) which is defined as³³

$$\delta = \frac{D_B}{(k_f \Gamma_o)^2} \quad (3)$$

For our system, δ is on the order of few milliseconds, which signifies that the aggregation (agglutination) process in our system is effectively diffusion controlled. Equation 1 for the aggregation kinetics then simplifies to

$$K = k_D = 4 \pi (D_A + D_B) (r_A + r_B) \quad (4)$$

where k_D is given by Smoluchowski theory^{33, 34}, r_A and r_B are the radius of reacting spheres.

The diffusion constants of the spheres can be related to their radii by the Stokes-Einstein equation

$$D_A = \frac{k_B T}{6 \pi \eta r_A} \text{ and } D_B = \frac{k_B T}{6 \pi \eta r_B} \quad (5)$$

where η is the fluid viscosity, T is absolute temperature and k_B is the Boltzmann constant.

Equation (4) can be rewritten as

$$k_D = k_{oe} f_r \quad (6)$$

where $k_{oe} = 8 k_B T / 3 \eta$ is the universal rate constant for particles of equal radius and

$f_r = (r_A + r_B)^2 / 4 r_A r_B$ is the geometrical factor.

The aggregation process in the GOAgg microbioassay system takes place in two steps. The first step includes binding between antigen molecules and antibodies conjugated to gold particles. In the second step the antigen bound to an antibody site on one gold particle binds with another free antibody site on another gold particle and binds the two gold particles together (Fig. 10).

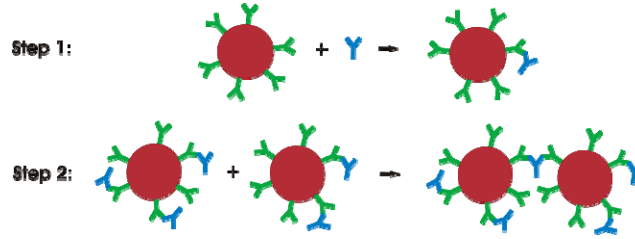


Figure 10. Schematics of two step model for aggregation of gold nanoparticles in the presence of antigen.

The diffusion rate constant mentioned in equation (6) takes into account only the translational diffusion of reacting spheres. It is accurate only when the surface of the sphere is completely covered with reactive sites and all collisions are fully effective. However, in our case the gold nanoparticles have an average of 10-12 antigen binding sites per particle available for biomolecular reaction. Even if the reactive sites of the particles come into contact during collision, they might not aggregate because of unfavorable orientation. Apart from rotational diffusion, steric factors and reactive site area need to be considered for the

calculation of rate constant. For the first step of aggregation after steric factors are taken into account, the reaction rate constant is given as

$$k_{D1} = 4 \pi D R r_B / 2 r_A = k_{oe} \frac{1}{8} \left(\frac{r_B}{r_A} + 1 \right)^2 \quad (7)$$

where $D = D_A + D_B$, $R = r_A + r_B$, r_B is the radius of the smaller reactant and r_A the radius of the larger particle.³³ For the second step we consider two spheres A and B, having reactive sites described by parameters θ_A and θ_B respectively. θ is the ratio of the radius of reactive site on the particle and the particle radius itself. The diffusion rate constant in this case is given by³⁵

$$k_{D2} = 4 \pi D R \theta_A \theta_B (\theta_A + \theta_B) / 8 \quad (8)$$

In our system $r_A = r_B$, hence $\theta_A = \theta_B = r_s / r_A$, where r_s is the radius of the reactive site, which corresponds to the radius of the area occupied by the IgG onto the surface of gold. The rate constant for the second step of aggregation can then be expressed as

$$k_{D2} = k_{oe} f_r, \text{ where } f_r = \frac{1}{4} \left(\frac{r_s}{r_A} \right)^3 \quad (9)$$

Agglutination of two antibody-coated gold nanoparticles requires that an antibody site with bound antigen on one gold particle collides with an antigen-free antibody site on another gold nanoparticle. The rate of agglutination depends on the concentration of antigen, which in turn controls the number of antigen-bound and antigen-free antibody sites on the gold nanoparticles. The concentration of single gold nanoparticles not only changes via collision with other single gold nanoparticles but also via collision with bigger aggregates (doublets, triplets, etc.). For spherical particles of the same size, the concentration of any aggregate can be calculated using the theory of Smoluchowski as

$$[C_j] = [C]_o^{\text{tot}} \left(\frac{t}{\tau} \right)^{j-1} \left(1 + \frac{t}{\tau} \right)^{-j-1}, \text{ where} \quad (10)$$

$$\tau = \frac{2}{k_D [C]_o^{\text{tot}}} \quad (11)$$

$[C]_o^{\text{tot}}$ is the initial concentration of antibody conjugated gold nanoparticles (monomers), τ is the characteristic half-time of aggregation and k_D is the diffusion rate constant. The value of j varies as 1, 2, 3... corresponding respectively to monomers, dimers, trimers etc.³⁴⁻³⁶ The theory assumes that the diffusion rate constant is the same for dimers, trimers and higher order aggregates. This is in contrast to what equation 9 suggests. However, this simplification does not affect our evaluations, as we are interested only in the formation of aggregates of second order (dimers).

To account for the probability of a successful binding collision leading to agglutination, the diffusion rate constant should include a collision frequency factor.^{37, 38} The collision frequency factor P can be estimated as follows

$$P = 2(\phi)(1-\phi) \quad (12)$$

where ϕ is the number of antibody sites with bound antigen molecules and $(1-\phi)$ is the number of antibody sites not bound to antigen molecules. After taking the collision frequency into account, we can calculate the corrected half time for aggregation as

$$\tau = \frac{2}{P k_D [C]_o^{\text{tot}}} \quad (13)$$

For a given value of the diffusion rate constant and initial concentration, the half time is minimal at maximal probability. The collision frequency factor has a maximum at the value of $\phi = 0.5$ for 50% coverage of antibody sites by antigen on each particle. Immunoglobulins are Y-shaped bi-functional structures, so each antibody site can bind two antigen molecules.³⁹ As

mentioned before, on average there are 6 antibody sites on every gold nanoparticle, leading to a total of 12 antigen binding sites available on each gold nanoparticle. For maximum collision efficiency factor, the number of antigen binding sites covered is 6.

The values of the parameters used in the estimates are listed in the Appendix. The diffusion rate constant for the second process (particle collision) is two orders of magnitude lower than for the first one, suggesting that it is the rate limiting step for binding kinetics. After $3 \tau_2 = 44.6$ min, 94% of the gold nanoparticles in the solution form at least a dimer. The time of 44.6 min is close to the one observed in the experiments (30 min incubation followed by 20 min of drying). For 3.09×10^{17} particle/m³ of gold nanoparticles, the concentration of antigen must be 1.854×10^{18} particle/m³, which corresponds to an optimal antigen concentration of 0.5 µg/mL. This is close to the value of 1.0 µg/mL that we established in the experimental results.

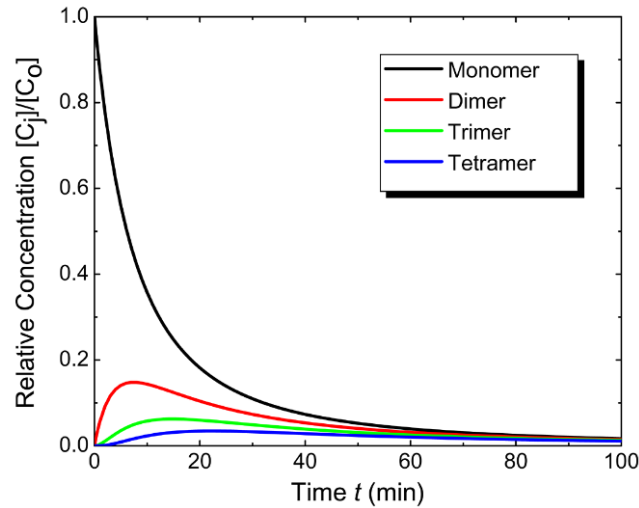


Figure 11. Concentration profiles of different types of aggregates relative to initial gold nanoparticle concentration (equations 10 and 13).

The concentration of each type of gold nanoparticle aggregate (relative to initial antibody-coated gold nanoparticles concentration) is plotted with respect to time in Figure 11. As predicted by the aggregation half-time τ , the concentration of unaggregated antibody-coated gold nanoparticles (black curve) goes down to 6% of its initial value in about 45 min. For comparison we also plot the time evolution of the concentration of aggregates like dimers (red curve), trimers (green curve), tetramers (blue curve) and other higher order aggregates.

A comparison between the experimental results and the theoretically calculated values is given in Table II. The agreement between experiments and theory suggests that the model can be used to predict the behavior of the microbioassays for any change in system parameters. This can be used for calculating the optimal particle concentration and minimal incubation times in designing future microbioassays, both in droplet on a chip or other formats. The applicability of the model to the case of Ricin was verified on the basis of the similarity in size and correspondingly in diffusion rates.^{40, 41}

Table II. Comparison between experimental results and theoretical values for droplet microbioassays.

Parameter	Experimental value	Evaluated by theory
Incubation time	~ 50 min (30 min incubation, 20 min drying)	45 min
Optimum antigen concentration	1.0 $\mu\text{g/mL}$	0.5 $\mu\text{g/mL}$

V. Concluding remarks

We show that microliter droplets captured by DEP can be used as containers for microscopic bioassays. The detection is based on agglutination of antibody functionalized particles in the presence of antigen. We demonstrate two microbioassay formats based on the type of functionalized particles, Gold Only Agglutination (GOAgg) and Gold Latex Agglutination (GLAgg). The experiments prove that both assay formats can be used to detect antibodies as expected. GOAgg assay has lower limit of detection since only gold nanoparticles have binding sites available to consume antigen molecules but it requires longer time for detection and is oversaturated more easily. However, the antigen concentration threshold for oversaturation is higher than expected for both microbioassays, probably because of the slow mass transfer processes.

We describe the performance of the microbioassays as a function of several parameters including sample size, particle size, analyte concentration, limit of detection, incubation time and rate of evaporation. The results from the droplet microbioassays were compared with the ones from Hand Held Assays (HHA) obtained from Critical Reagents Program, DOD in terms of incubation time, sample volume and lower limit of detection (Table I). The HHA needed three times less time for result read-out. On the other hand the lower limit of detection for GOAgg assays was also found to be 10 times better, 1.0 $\mu\text{g/mL}$ as opposed to 10.0 $\mu\text{g/mL}$ needed for HHA. The microbioassays consumed 100 times less sample volume than HHAs. Efficient usage of sample makes it a viable immuno-detection method for biological defense applications, with a tradeoff in terms of testing time.

We matched the results against a model of particle aggregation kinetics developed on the basis of the kinetic theory of agglutination by Smoluchowski using rate constants provided

in the literature. The calculations for the aggregation time of particles using this model were in good correlation with the experimental values (Table II). The calculated antigen concentration was of the same order as the ones observed in experiments. The quantification of the agglutination and detection process can in the future be improved by measurement of the amount of nanoparticles on the droplet surfaces by image processing. By identifying the experimental conditions conducive to efficient detection in the assays and developing a model that could predict the kinetic response we make possible the further development of efficient microbioassays on a chip.

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Appendix. List of constants and variables used in the theoretical calculations

Concentration of gold nanoparticles $C_A = 3.09 \times 10^{17}$ particle/m ³
Molecular weight of immunoglobulin $M_{IgG} = 160$ kDa ³⁰
Antigen concentration $C_B = (C N_A)/(M_{IgG})$ where C is antigen concentration in µg/mL
$C_B = 3.764 \times 10^{18}$ particle/m ³ for C = 1.0 µg/mL
Radius of gold nanoparticles $r_A = 2.0 \times 10^{-8}$ m
Average radius of IgG ⁴² $r_B = 3.5 \times 10^{-9}$ m
Diffusion rate constant for first step $k_{D1} = 1.87 \times 10^{-18}$ m ³ /s (Equation 7)
Diffusion rate constant for second step $k_{D2} = 1.45 \times 10^{-20}$ m ³ /s (Equation 9)
Half time constant for first step of aggregation $\tau_1 = 0.564$ sec
Half time constant for second step of aggregation $\tau_2 = 891.2$ sec = 14.86 min

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- ⁴⁰Radius of Ricin is ~2.5 nm as compared to 3.5 nm for IgG. The diffusion rate for the first step is roughly 118 times larger than the rate of diffusion for second step. The antibody used

to detect Ricin is also an immunoglobulin attached onto the gold nanoparticle surface. Hence our assumption of second step to be the rate limiting step is still valid and the model explains the dynamics of Ricin GOAgg assays.

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Presentations:

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Orlin. D. Velev, Invited Seminar, Department of Mechanical Engineering, Massachusetts Institute of Technology, Boston, MA, March 2007. On-chip droplet and particle manipulation by electric fields: Applications in microfluidics and colloidal assembly.

Orlin. D. Velev, Invited Seminar, Department of Chemical and Biomolecular Engineering, Tulane University, New Orleans, LA, March 2007. On-chip droplet and particle manipulation by electric fields: Application in microbioassays and microfluidic devices.

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Orlin. D. Velev, Invited Seminar, Department of Chemical Engineering, University of Oklahoma, Norman, OK, September 2006. On-chip droplet and particle manipulation by electric fields: Application in microbioassays and microfluidic devices.

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